



Crowe Horwath LLP
Independent Member Crowe Horwath International

One Mid America Plaza, Suite 700
Post Office Box 3697
Oak Brook, Illinois 60522-3697
Tel 630.574.7878
Fax 630.574.1608
www.crowehorwath.com

**STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
COMPLIANCE EXAMINATION**

(In accordance with the Single Audit Act
and OMB Circular A133)

For the Year Ended June 30, 2009

Performed as Special Assistant
Auditors for the Auditor General,
State of Illinois

STATE OF ILLINOIS
 SOUTHERN ILLINOIS UNIVERSITY
 COMPLIANCE EXAMINATION
 (In Accordance with the Single Audit Act and OMB Circular A-133)
 For the Year Ended June 30, 2009

TABLE OF CONTENTS

	<u>Page</u>
University Officials	1
Management Assertion Letter	2
Compliance Report	
Summary	3
Auditors' Reports	
Independent Accountants' Report on State Compliance and on Internal Control Over Compliance for State Compliance Purposes	5
Report on Compliance with Requirements Applicable to Each Major Program and on Internal Control Over Compliance in Accordance with OMB Circular A-133	8
Schedule of Findings and Questioned Costs	
Summary of Auditor's Results	11
Current Findings - Federal and Questioned Costs	12
Current Findings - State	16
Prior Findings Not Repeated - Federal.....	18
Prior Findings Not Repeated - State	19
Schedule of Expenditures of Federal Awards	
Summary.....	20
Carbondale	21
Edwardsville	43
Notes to Schedule of Expenditures of Federal Awards.....	53
Related Reports Published Under Separate Cover	

The Financial Statements for the Year Ended June 30, 2009 for
 Southern Illinois University
 Housing and Auxiliary Facilities System
 Medical Facilities System

Supplementary Financial Information of Southern Illinois
 University for the Year Ended June 30, 2009, Including
 Compliance with Legislative Audit Commission University
 Guidelines 1982 as Amended 1997, and Special Data
 Required for University Audits

**Southern Illinois University
Board of Trustees and
Officers of Administration
Fiscal Year 2009**

BOARD OF TRUSTEES OF SOUTHERN ILLINOIS UNIVERSITY

Roger Tedrick, Chair	Mt. Vernon
Ed Hightower, Vice Chair	Edwardsville
John Simmons, Secretary	East Alton
Frank William Bonan III	Harrisburg
Nate Brown	Carbondale
Keith Sanders	Spring Grove
Amber Suggs	Edwardsville
Stephen Wigginton	Belleville
Marquita Wiley	Belleville

OFFICERS OF SOUTHERN ILLINOIS UNIVERSITY

Glenn Poshard, President
Jerry Blakemore, General Counsel
Paul Sarvela, Vice President, Academic Affairs
Duane Stucky, Senior Vice President, Financial and Administrative Affairs and Board Treasurer
Misty Whittington, Executive Secretary of the Board

OFFICERS OF ADMINISTRATION, SOUTHERN ILLINOIS UNIVERSITY CARBONDALE

Samuel Goldman, Chancellor
Don S. Rice, Interim Provost and Vice Chancellor
J. Kevin Dorsey, Dean and Provost, School of Medicine
Kevin D. Bame, Vice Chancellor for Administration and Finance
Larry H. Dietz, Vice Chancellor for Student Affairs
John A. Koropchak, Vice Chancellor for Research and Graduate Dean
Rickey N. McCurry, Vice Chancellor for Institutional Advancement

**OFFICERS OF ADMINISTRATION, SOUTHERN ILLINOIS UNIVERSITY
EDWARDSVILLE**

Vaughn Vandegrift, Chancellor
Paul W. Ferguson, Provost and Vice Chancellor for Academic Affairs
Narbeth Emmanuel, Vice Chancellor for Student Affairs
Patrick Hundley, Vice Chancellor for University Relations
Kenneth Neher, Vice Chancellor for Administration



SOUTHERN ILLINOIS UNIVERSITY

February 19, 2010

Crowe Horwath LLP
One Mid America Plaza
Post Office Box 3697
Oak Brook, IL 60522-3697

Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of Southern Illinois University ("University"). We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the University's compliance with the following assertions during the year ended June 30, 2009. Based on this evaluation, we assert that during the year ended June 30, 2009, the University has materially complied with the assertions below.

- A. The University has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
B. The University has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
C. The University has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
D. State revenues and receipts collected by the University are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
E. Money or negotiable securities or similar assets handled by the University on behalf of the State or held in trust by the University have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Glenn Poshard
President

Duane Stucky
Senior Vice President for Financial and
Administrative Affairs

Office of the President, Stone Center - Mail Code 6801, 1400 Douglas Drive, Carbondale, Illinois 62901

COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

AUDITORS' REPORTS

The Independent Accountants' Report on State Compliance and on Internal Control Over Compliance does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>Current Report</u>	<u>Prior Reports</u>
Findings	4	8
Repeated findings	2	5
Prior recommendations implemented or not repeated	6	9

Details of Federal and State compliance findings are presented in a separately tabbed report section of this report.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
FINDINGS AND QUESTIONED COSTS (FEDERAL COMPLIANCE)			
09-01	12	Inadequate Controls Over Loan Reporting	Material Weakness and Noncompliance
09-02	14	Fiscal Operation Report and Application to Participate ("FISAP") Reporting	Material Weakness and Noncompliance
FINDINGS (STATE COMPLIANCE)			
09-03	16	Time Sheets are Not Required	Significant Deficiency and Noncompliance
09-04	17	Duplicate Travel Request Reimbursements	Significant Deficiency and Noncompliance

PRIOR FINDINGS NOT REPEATED (FEDERAL COMPLIANCE)

- a. 18 Untimely Return of Title IV Funds
- b. 18 Failure to Follow Requirements for Perkins Loans Under Repayment and Default Status
- c. 18 Inadequate Controls Surrounding TRIO Upward Bound Eligibility Determination

PRIOR FINDINGS NOT REPEATED (STATE COMPLIANCE)

- d. 19 Failure to Report Monthly in Accordance with the Board of Higher Education Act
- e. 19 Inadequate Controls Over Employees Engaged in Non-University Related Activities
- f. 19 Failure to Adopt a Specific Grievance Process in Alignment with the Denial of Recommendation of Teacher Certification

EXIT CONFERENCE

The University waived the right to a formal exit conference at the conclusion of the audit. Written responses to the findings were provided by Kim Labonte, Director of Internal Audit, on February 4, 2010.



Crowe Horwath LLP
Member Horwath International

INDEPENDENT ACCOUNTANTS' REPORT ON STATE COMPLIANCE AND
ON INTERNAL CONTROL OVER COMPLIANCE FOR STATE COMPLIANCE PURPOSES

Honorable William G. Holland
Auditor General, State of Illinois
and Board of Trustees
Southern Illinois University

Compliance

As Special Assistant Auditors for the Auditor General, we have examined Southern Illinois University's (the University's) compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the year ended June 30, 2009. The management of the University is responsible for compliance with these requirements. Our responsibility is to express an opinion on the University's compliance based on our examination.

- A. The University has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The University has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The University has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. The State revenues and receipts collected by the University are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the University on behalf of the State or held in trust by the University have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

We conducted our examination in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the University's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the University's compliance with specified requirements.

In our opinion, the University complied, in all material respects, with the requirements listed in the first paragraph of this report during the year ended June 30, 2009. However, the results of our procedures disclosed instances of noncompliance with those requirements, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings and questioned costs as findings 09-03 and 09-04.

Internal Control

The management of the University is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the University's internal control over compliance with the requirements listed in the first paragraph of this report in order to determine our examination procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General but not for the purpose of expressing an opinion on the effectiveness of the University's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct noncompliance with the requirements listed in the first paragraph of this report on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented or detected by the entity's internal control.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above. However, we identified certain deficiencies in internal control over compliance, described in the accompanying Schedule of Findings and Questioned Costs that we consider to be significant deficiencies in internal control over compliance (Findings 09-03 and 09-04). A *significant deficiency* is a deficiency, or a

combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter to the University.

The University's responses to the findings identified in our examination are described in the accompanying schedule of findings and questioned costs. We did not examine the University's response and, accordingly, we express no opinion on it.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, the University Board of Trustees, management and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.



Crowe Horwath LLP

Oak Brook, Illinois
February 19, 2010



Crowe Horwath LLP
Member Horwath International

REPORT ON COMPLIANCE WITH REQUIREMENTS APPLICABLE TO EACH
MAJOR PROGRAM AND ON INTERNAL CONTROL OVER COMPLIANCE
IN ACCORDANCE WITH OMB CIRCULAR A-133

Honorable William G. Holland
Auditor General, State of Illinois
and Board of Trustees
Southern Illinois University

Compliance

We have audited the compliance of Southern Illinois University (the "University") with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended June 30, 2009. The University's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the University's management. Our responsibility is to express an opinion on the University's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of the University's compliance with those requirements.

In our opinion, the University complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended June 30, 2009. However, the results of our auditing procedures disclosed instances of noncompliance with those requirements, which are required to be reported in accordance with OMB Circular A-133 and which are described in the accompanying schedule of findings and questioned costs as items 09-01 and 09-02.

Internal Control Over Compliance

The management of the University is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the University's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance but not for the purpose of expressing an opinion on the effectiveness of the University's internal control over compliance.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and would not necessarily identify all deficiencies in the entity's internal control that might be significant deficiencies or material weaknesses as defined below. However, as discussed below we identified certain deficiencies in internal control over compliance that we consider to be significant deficiencies.

A *control deficiency* in an entity's internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect noncompliance with a type of compliance requirement of a federal program on a timely basis. A *significant deficiency* is a control deficiency, or combination of control deficiencies, that adversely affects the entity's ability to administer a federal program such that there is more than a remote likelihood that noncompliance with a type of compliance requirement of a federal program that is more than inconsequential will not be prevented or detected by the entity's internal control. We consider the deficiencies in internal control over compliance described in the accompanying schedule of findings and questioned costs as items 09-01 and 09-02 to be significant deficiencies.

A *material weakness* is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that material noncompliance with a type of compliance requirement of a federal program will not be prevented or detected by the entity's internal control. Of the significant deficiencies in internal control over compliance described in the accompanying schedule of findings and questioned costs, we consider items 09-01 and 09-02 to be material weaknesses.

The University's response to the findings identified in our audit are described in the accompanying schedule of findings and questioned costs. We did not audit the University's response and, accordingly, we express no opinion on it.

Schedule of Expenditures of Federal Awards

We have audited the basic financial statements of the University as of and for the year ended June 30, 2009, and have issued our report thereon dated February 16, 2010. Our audit was performed for the purpose of forming an opinion on the University's basic financial statements. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in

the audit of the basic financial statements and, in our opinion, is fairly stated in all material respects, in relation to the basic financial statements taken as a whole.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, University Board of Trustees, management, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

A handwritten signature in black ink that reads "Crowe Horwath LLP". The signature is written in a cursive, flowing style.

Crowe Horwath LLP

Oak Brook, Illinois
February 19, 2010

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
Year ended June 30, 2009

a. Summary of Auditor's Results

Financial Statements

Type of auditors' report issued: *unqualified*

Internal control over financial reporting:

- Material weakness(es) identified? _____ Yes No
- Significant deficiencies(s) identified that are not considered to be material weakness(es)? _____ Yes None reported
- Noncompliance material to financial statements noted? _____ Yes No

Federal Awards

Internal control over major programs:

- Material weakness(es) identified? Yes _____ No
- Significant deficiency(ies) identified that are not considered to be material weakness(es)? _____ Yes None reported

Type of auditors' report issued on compliance for major programs: *unqualified*

Any audit findings disclosed that are required to be reported in accordance with section 510(a) of Circular A-133?

Yes _____ No

Identification of major programs:

CFDA Number(s)

Name of Federal Program or Cluster

Various

Student Financial Aid Cluster (1)

Various

Research & Development Cluster (2)

93.600

Head Start (3)

Various

Trio Cluster (4)

Dollar threshold used to distinguish between type A and type B programs:

\$ 2,557,097

Auditee qualified as low-risk auditee?

_____ Yes No

b. Findings Related to the Financial Statements that are Required to be Reported in Accordance with *Government Auditing Standards* - None

c. Findings and Questioned Costs for Federal Awards - See pages 12 - 15

d. Status of Prior Year Findings Not Repeated - See page 18

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES - FEDERAL
Year Ended June 30, 2009

09-01 Inadequate Controls Over Loan Reporting

Federal Agency: U.S. Department of Education
CFDA Number: 84.268
Program Name: Federal Direct Loan Program
Questioned Costs: None Noted

The Carbondale Campus did not report changes in enrollment status of students in the required timeframe to the National Student Clearinghouse (NSC) for Federal student loan programs.

Out of 33 enrollment status changes tested, 25 (64%) were not reported to the NSC within the required timeframe. Two of 33 (6%) tested were not reported to NSC at all. The NSC is Carbondale's enrollment reporting service.

The National Student Loan Data System (NSLDS) Enrollment Reporting Guide requires schools to confirm and report the enrollment status of students who receive Federal student loans. As with any school/servicer arrangement for the administration of Title IV programs, the school remains responsible for submitting timely, accurate, and complete enrollment reporting roster files and for maintaining proper documentation in accordance with Federal Regulation 34 CFR 682.610(c).

According to Carbondale officials, the campus has an informal practice of backdating the withdrawal dates of students. The software that transmits information from the University to the NSC updates current information only. This process does not update the status of the backdated students. In addition the campus does not have an effective control to identify the withdrawal and graduation dates of its students which leads to untimely reporting to NSC.

A student's enrollment status determines eligibility for deferment, grace periods, and repayments, as well as the Federal Government's payment of interest subsidies. Enrollment reporting is critical for effective administration of the Title IV student loan programs. (Finding Code No. 09-01, 08-01, 07-07)

Recommendation:

We recommend the University implement policies and procedures to prevent backdated withdrawals of students and ensure the timely and accurate reporting of information to the National Student Clearinghouse. We also recommend the campus reevaluate its controls for identifying the withdrawal and graduation dates for its students.

**STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES - FEDERAL
Year Ended June 30, 2009**

09-01 Inadequate Controls Over Loan Reporting (Continued)

University Response:

Accepted

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES - FEDERAL
Year Ended June 30, 2009

09-02 Fiscal Operation Report and Application to Participate ("FISAP") Reporting

Federal Agency: U.S. Department of Education
CFDA Number: 84.268
Program Name: Federal Direct Loan Program
Questioned Costs: None Noted

At the Edwardsville campus, an amount on the Fiscal Operation Report and Application to Participate ("FISAP") was found to have a \$468,000 discrepancy.

The amount recorded on the FISAP for the Federal Share of community service earned compensation (Part V, Section G., Line 25) did not trace to supporting documentation provided by the Student Financial Aid office. Additionally, this amount has been recorded incorrectly in three other locations (Part V, Section H., Lines 28, 28a, and 29). The amount recorded by the University was \$52,006 as opposed to \$520,006 as noted in Part V., Section D, Line 14b. The variance between these two amounts is \$468,000. Other variances were noted in three other line items where the supporting documentation was less than the amount reported. The combined variance of these occurrences totaled \$6,526.

The FISAP should be thoroughly reviewed for accuracy prior to submittal. Such a review would involve comparing supporting documentation to the report. Any variations noted between the report and supporting documentation should have an explanation provided.

Per discussion with the Student Financial Aid office, it appears that the discrepancy was due to a clerical error where an additional zero was not added to make it the intended amount.

The FISAP report is misstated by \$468,000 on four separate line-items of the report. Although the amount does not appear to distort any calculations or other figures within the report, the amount recorded did not appear to be questioned through an internal review or edit checks that are imbedded in the online application. Additionally, the amount does not affect any line items associated with financial aid funding. (Finding Code No. 09-02)

Recommendation:

We recommend that the FISAP report undergo an internal review performed by personnel familiar with the report where clerical errors could be discovered prior to submission. Such a review would involve comparing the report to supporting documentation.

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES - FEDERAL
Year Ended June 30, 2009

09-02 Fiscal Operation Report and Application to Participate ("FISAP") Reporting
(Continued)

University Response:

Accepted

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES – STATE
Year Ended June 30, 2009

09-03 Time Sheets are Not Required

The University does not have a policy that requires all employees to periodically submit time sheets documenting the time spent each day on official University business to the nearest quarter hour as required by the State Officials and Employees Ethics Act (Act).

The Act required the Illinois Board of Higher Education (IBHE), with respect to State employees of public universities, to adopt and implement personnel policies. The IBHE adopted personnel policies for public universities on February 1, 2004, in accordance with the Act. However, the University has not incorporated IBHE policies into the University's policies.

We noted that the University's faculty did not submit timesheets in compliance with the Act. The process is effectively a "negative" timekeeping system whereby the employee is assumed to be working unless noted otherwise. No time sheets documenting the time spent each day on official State business to the nearest quarter hour are required for faculty. During fiscal year 2007 the University adopted a policy to require time sheets from all employees except faculty.

The Act (5 ILCS 430/5-5(c)) states, "The policies shall require State employees to periodically submit time sheets documenting the time spent each day on official State business to the nearest quarter hour."

University officials' explained that there is currently no formal policy in place for faculty to submit timesheets in accordance with the Act.

Failure to require appropriate time sheets from its employees constitutes noncompliance with State law. (Finding Code No. 09-03, 08-05, 07-10, 06-4, 05-1)

Recommendation:

We recommend the University amend its policies to require all employees to submit time sheets in compliance with the Act.

University Response:

Southern Illinois University continues to require all employees including Faculty to request time off and the University maintains and reports the time requested and approved by employees. This practice is similar to and consistent with the practice of other public universities and is based on the direction given by the Executive Inspector General at the time of the enactment of the State Officials and Employees Act. The University will continue to work with other public universities and the IBHE in resolution of this issue.

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES - STATE
Year Ended June 30, 2009

09-04 Duplicate Travel Request Reimbursements

The Carbondale campus processed and paid ten duplicate travel reimbursements requests in fiscal year 2009.

In the population of 26,052 travel expenditures there were 25 instances with at least two travel vouchers having identical descriptions and reimbursement amounts. During our testing, we noted the following:

- Ten of 25 requests tested were duplicate requests processed and paid more than once. Total potential duplicate reimbursements amounted to \$2,036.
- Of the 10 duplicate billings, 5 were paid back to the University in the amount of \$597 prior to auditor's inquiry of these duplicate billings with management.
- The remaining 5 of 10 duplicate billings in the amount of \$1,439 have either been repaid to the University after auditor inquiry with the University or repayments are still forthcoming.

Duplicate travel vouchers should be detected by the fiscal officer of the applicable account prior to being processed and paid.

University management stated duplicate travel vouchers were approved without detection by the fiscal officers prior to submission for reimbursement by Accounts Payable. As a result, Accounts Payable processed the travel vouchers as approved by the fiscal officers leading to a duplicate reimbursement for these employees.

Paying duplicate travel reimbursements may result in inappropriate use of University funds, including funding from other sources. (Finding Code No. 09-04)

Recommendation:

It is recommended that fiscal officers should properly implement its procedures in reviewing and processing travel reimbursements including the investigation of possible duplicate travel reimbursements prior to approval. Additionally, Accounts Payable should consider implementing an audit process where on a quarterly basis a script for all travel related reimbursements is reviewed and sorted to determine if duplicate billing amounts and descriptions exist for employees. An investigation of these amounts will be performed to determine whether or not the employee has been paid twice.

University Response:

Accepted

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
PRIOR FINDINGS NOT REPEATED (FEDERAL)
Year Ended June 30, 2009

- a. During the prior period, the University did not return Title IV funds within the required 45 days timeframe. At the Edwardsville campus, Title IV funds were not returned within 45 days of the student's withdrawal date for 10 of 33 (30%) students selected for testing. At the Carbondale campus, 3 of 20 (15%) students selected for testing did not have Title IV funds returned within 45 days of the student's withdrawal date. (Finding Code 08-02)

During the current examination period, we noted that both campuses returned funds within 45 days of the student's withdrawal date based upon the sample selected. This finding will not be repeated.

- b. During the prior period, the University did not contact students in repayment status for Perkins Loans in the required timeframe. At the Edwardsville campus, 2 of 33 (6%) students selected for testing did not received 90 day grace period letters. At Carbondale campus, 3 of 11 (27%) students selected for testing did not receive the letters. (Finding Code 08-03, 07-06)

During the current examination, both campuses sent out 90 day grace period letters to students in repayment status within the required timeframe. This finding will not be repeated.

- c. During the prior period, the Carbondale campus did not have proper controls in place to determine if Trio Upward Bound applicants are U.S. Citizens. Per testing, all 3 participants tested did not have information in the application form or in the supporting documents provided that would identify them as eligible citizens, nationals, or permanent residents of the United States. (Finding Code 08-04)

During the current examination, the Carbondale campus sent letters to existing participants requesting a response to whether or not they are a citizen, national, or permanent resident of the United States. Additionally, a section to document eligibility requirements has been added to the form to be used going forward. As a result of these new enhancements, this finding will not be repeated.

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
PRIOR FINDINGS NOT REPEATED (STATE)
Year Ended June 30, 2009

- d. During the prior period, the Carbondale campus did not comply with the Board of Higher Education Act (110 ILCS 205/9.21). Per testing, the campus did not submit the required reports to the Department of Human Rights and the Attorney General for adjudicated cases in which there was a finding of racial, ethnic or religious intimidation or harassment. (Finding Code 08-06, 07-12)

During the current examination, the Carbondale campus began submitting reports on a monthly basis to comply with the Board of Higher Education Act. This finding will not be repeated.

- e. During the prior period the Carbondale campus did not have adequate controls to ensure employees engaged in non-University related activities complied with University policies and the University Faculty Research and Consulting Act (110 ILCS 100/1). During testing of the policies, 19 of 25 (76%) faculty tested did not receive approval from the President or his designee prior to the performance of outside research or consulting services. Additionally, 5 of 25 (20%) faculty tested had not filed an Annual Report of Approved Non-University Activities and Financial Interest as required by University policies. (Finding Code 08-07, 07-14)

During the current examination the Carbondale campus has changed their control structure to enhance the monitoring of approvals and filing of the Annual Report of Approved Non-University Activities and Financial Interest. Based upon the review of the new controls and procedures in place, this finding will not be repeated.

- f. During the prior period the Edwardsville campus did not establish a grievance process in alignment with the denial of recommendation of teacher certification as directed by 105 ILCS 5/21-21.1. The Edwardsville campus maintained a general grievance process for students to utilize in cases of denied recommendations. However, there was nothing specific within the process that is in alignment with the School Code in terms of procedures that are set forth regarding the number of days for the University to notify the candidate after denying certification, students to request a review of the denial, and students to appeal the decision if upheld. (Finding Code 08-08)

During the current examination the Edwardsville campus has put forth a grievance process that addresses the grievance process associated with the denial of recommendation of teacher certification per 105 ILCS 5/21-21.1. This process has been put forth on the University's website. This finding will not be repeated in the current year.

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS SUMMARY
Year ended June 30, 2009

	Federal Expenditures		
	<u>Carbondale</u>	<u>Edwardsville</u>	<u>Total</u>
U.S. Department of Education	\$ 26,270,968	\$ 13,616,862	\$ 39,887,830
U.S. Department of Health and Human Services	17,319,176	10,861,157	28,180,333
National Science Foundation	3,806,697	554,907	4,361,604
U.S. Department of Agriculture	1,646,128	643,100	2,289,228
U.S. Department of Labor	1,925,246	51,145	1,976,391
U.S. Department of Defense	1,556,015	16,168	1,572,183
U.S. Department of Commerce	1,264,941	141,833	1,406,774
U.S. Department of the Interior	1,018,764	367,422	1,386,186
U.S. Department of Transportation	936,534	73,103	1,009,637
U. S. Department of Energy	958,508	22,067	980,575
National Endowment for the Arts & Humanities	93,639	238,628	332,267
U.S. Small Business Administration	-	323,797	323,797
Library of Congress	166,248	153,345	319,593
U.S. Agency for International Development	290,487	-	290,487
U.S. Environmental Protection Agency	129,863	101,607	231,470
U.S. Department of Justice	205,057	-	205,057
U.S. Department of State	166,855	16,830	183,685
U.S. Department of Homeland Security	126,875	-	126,875
U.S. Department of Housing and Urban Development	71,260	7,691	78,951
National Aeronautics and Space Administration	-	57,686	57,686
National Archives and Records Administration	20,000	-	20,000
U.S. Geological Survey	-	11,792	11,792
U.S. Postal Service	-	4,181	4,181
TOTAL FEDERAL GRANTS AND CONTRACTS	<u>\$ 57,973,261</u>	<u>\$ 27,263,321</u>	<u>\$ 85,236,582</u>

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
U.S. DEPARTMENT OF EDUCATION				
Direct Grants and Contracts:				
Student Support Service Program	(4) 84.042		\$ 1,086,014	\$ 315,064
Upward Bound	(4) 84.047		346,806	(306)
Upward Bound	(4) 84.047		1,490,864	(3,969)
Upward Bound	(4) 84.047		1,151,691	356,355
Rehabilitation Long Term Training	84.129		395,940	(639)
Rehabilitation Long Term Training	84.129		271,671	137
Rehabilitation Long Term Training	84.129		225,000	63,200
Rehabilitation Counselor Supervision	84.129		300,000	150,789
Rehabilitation Long Term Training	84.129		750,000	155,519
SIUC McNair Program	(4) 84.217		880,000	(1,012)
SIUC McNair Program	(4) 84.217		462,000	234,149
RCEP-Region V	84.264		1,659,000	167,898
Rehab Continuing Education Program	84.264		821,579	381,024
Playground Renovation	84.335		502,845	157,927
			10,343,410	1,976,136
Financial Assistance:				
Supplemental Educational Opportunity Grant-FY08	(1) 84.007		693,501	45,970
Supplemental Educational Opportunity Grant-FY09	(1) 84.007		650,384	713,562
Cooperative Work Study Program	(1) 84.033		2,174,996	1,926,169
Pell Grant Program-06	(1) 84.063		14,975,712	(1,000)
Pell Grant Program-07	(1) 84.063		14,368,734	(1,919)
Pell Grant Program-08	(1) 84.063		16,078,228	9,925
Pell Grant Program-09	(1) 84.063		18,008,429	18,023,514
ACG Grant-FY09	(1) 84.375		558,025	558,400
SMART Grant-FY08	(1) 84.376		371,041	6,000
SMART Grant-FY09	(1) 84.376		391,698	395,698
			68,270,748	21,676,319

Flow-Thru Grants and Contracts:
Corporation for Public Broadcasting:

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Ready to Learn Outreach Station Grant	84.U395A050003	10939	160,000	48,898
Super Why Summer Reading Camp	84.PRU295B050003	NA	5,000	4,195
<i>Southern Illinois University Edwardsville:</i>				
Southern Regional Professional Development Center	84 Unknown	6-23772	249,209	64,434
<i>Illinois State Board of Education:</i>				
State Leadership-CTE	84.048	4720-02	475,000	(3,310)
State Leadership-CTE	84.048	4720-02	475,000	470,198
Nontraditional Training	84.048	4720-04	75,000	74,176
Illinois Student Information System	84.048	4720-00	260,000	256,864
Nontraditional Training	84.048	4720-02	75,000	212
Illinois Student Information System	84.048	4720-00	260,000	1,101
Illinois Mathematics and Science Partnerships	84.366	4936-81	200,000	57,978
Science Mathematics and Action Research	84.366	4936-80	157,097	89,240
Illinois Mathematics Science Partnership	84.366	4936-80	208,884	104,282
Illinois Mathematics Science Partnership	84.366	4936-81	31,500	4,646
<i>Illinois State University:</i>				
Effective Assessment Strategies for CTE	84.048	08D282.02	7,479	6,840
Learning Styles Teaching Styles	84.048	07D280.01	6,061	330
Teacher Identity	84.048	07D280.02	6,425	281
A Clear Definition of Appropriate Professional Dress	84.048	08D282.03	6,010	6,059
The Efficacy of Interactive Learning Activities	84.048	08D282	5,708	5,738
<i>Illinois Community College Board:</i>				
Adult Basic Education	84.002	NA	75,240	1,629
Adult Basic Education	84.002	NA	67,741	68,000
Promoting Baccalaureate Degree Options	84.048	CTEL08006	37,500	1,246
Career & Technical Education Program	84.048	PIG08	82,852	5,890
<i>Illinois Department of Human Services:</i>				
EDC Third Party Agreement	84.126	10C6001651	875,000	2,643
EDC Third Party Agreement	84.126	10C601651	889,963	(4,743)
EDC Third Party Agreement	84.126	40C9001651	889,963	908,722
<i>Public Broadcasting Service:</i>				
PBS Teacherline	84.286	NA	10,000	2,829
PBS Teacherline	84.286	U286A05005	10,000	12,274
<i>Illinois Board of Higher Education:</i>				
Project RAMPD: Rural Access	84.367	07NCLB9	324,706	224,649
Project RAMPD: Rural Access	84.367	08NCLB7	311,393	203,212

**SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year Ended June 30, 2009**

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Total U.S. Department of Education				
			6,237,731	2,618,513
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES				
Direct Grants and Contracts:				
Development of Coal Mine Intersection Support	(2) 93.254-2008-M-24358		24,746	16,495
Engineered Crib Proposal	(2) 93.214-2008-M-25334		25,000	24,064
Coating Effects on MR Relaxivities	(2) 93.113		171,232	81,148
Coating Effects on MR Relaxivities	(2) 93.113		45,518	2,520
Synaptic Processing in the Gustatory Brainstem	(2) 93.173		1,043,528	157,312
Coding in Auditory Neurons	(2) 93.173		1,761,611	260,973
Development of a Rapid Behavioral	(2) 93.173		381,012	92,731
Developing D-Methionine	(2) 93.173		1,298,001	434,930
Glycine Receptor in Rat Tinnitus	(2) 93.173		610,134	247,910
Endogenous Modulation of Cochlear Injury	(2) 93.173		253,180	229,439
Features of Chronic Tinnitus	(2) 93.173		365,670	338,576
Examining Professional Competency	(2) 93.242		144,500	49,539
Attentional Bias and Affect	(2) 93.279		1,403,073	56,856
NRI and Bupropion Mechanisms	(2) 93.279		2,210,979	642,159
Nicotine ACHRS in Histaminergic Neurons	(2) 93.279		341,396	115,467
SIU Rural Caregiver Telehealth Intervention	(2) 93.361		1,018,391	63,151
Facility Renovation to Expand Mouse Barrier Space	(2) 93.389		635,000	180,223
Combating Restenosis with Surface Texturing	(2) 93.390		216,750	73,291
Aldose Reductase	(2) 93.393		163,688	83,309
Alox15B as Tumor Suppressor	(2) 93.393		221,524	88,089
Biosynthesis of Taxol Precursors	(2) 93.395		218,250	5,723
UBC9 as a Novel Target	(2) 93.395		872,774	198,603
Anti-Cancer Ginsenosides	(2) 93.395		289,569	42,479
Mechanisms of VEGF	(2) 93.395		216,750	144,047
Aldose Reductase	(2) 93.395		317,900	194,479
Pregnane X Receptor in Prostate	(2) 93.395		218,250	55,702
Role of Adenosine A3	(2) 93.395		218,250	81,124
Development of Dynamic Isoelectric Focusing	(2) 93.396		244,373	6,912
Tumor Metastases Suppression	(2) 93.396		371,428	167,865
KAI1 in Tumor Progression	(2) 93.396		371,428	137,729

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Head Start	93.600	(3)	2,978,701	(5,635)
Head Start	93.600	(3)	35,006	(207)
Head Start	93.600	(3)	2,978,701	3,033,029
Head Start	93.600	(3)	35,006	38,456
A Transitional Model of Autism Services	93.647	(2)	229,912	171,974
Ginseng and Its Constituents	93.701	(2)	181,875	11,409
Suppi -Glycine Receptor in a Rat	93.701	(2)	7,121	2,854
Mechanisms of Fatigue	93.701	(2)	19,788	657
Vanilloid Receptors	93.847	(2)	838,901	92,984
Effects of Vitamin B3	93.853	(2)	291,370	50,137
Murine Sleep During Infections	93.853	(2)	1,185,705	115,929
Differential Effects of Tarps on AMPA	93.853	(2)	347,703	61,225
LS-1: Neuroprotection in Parkinson's	93.853	(2)	169,481	135,705
ACTS: Neuroprotection in Parkinson's Disease	93.853	(2)	42,483	31,899
Nerve Growth Factor and Transient Receptor	93.853	(2)	216,750	3,771
Kar and Seizures-	93.853	(2)	189,656	133,797
Small RNAs and HFQ	93.855	(2)	218,250	18,782
Mechanisms of Fatigue	93.855	(2)	380,710	336,161
EBV Protein	93.855	(2)	144,500	153,043
Investigating Fluorescence Resonance Energy	93.859	(2)	253,088	46,899
Design and Evaluation of Response	93.859	(2)	216,750	71,630
Protein Separation with Polymer Brush	93.859	(2)	214,080	93,956
Chromatin Repression	93.859	(2)	855,701	70,999
RNA Splicing in Archea	93.859	(2)	216,750	22,434
Gryanodine Receptor Channels	93.859	(2)	743,959	177,747
Transcriptional Activation	93.859	(2)	1,062,075	395,008
Chromatin Remodeling and Transcription	93.859	(2)	323,738	120,032
LH Receptors in Mice	93.864	(2)	598,775	139,867
RHOX5 Cofactors	93.864	(2)	144,500	37,074
Uterine Development	93.864	(2)	72,250	5,020
Working Memory and Brain Morphology	93.865	(2)	141,320	1,732
Prolactin Feedback	93.865	(2)	1,679,864	358,489
Conceptus-Dependent Effects on the Endometrium	93.865	(2)	1,028,100	176,902
Opioid-Dopamine Interactions	93.865	(2)	1,255,933	212,068
Molecular Regulation and Role of Placenta	93.865	(2)	862,117	278,988
Age Dependent Response of Neurons	93.866	(2)	1,491,451	13,350
Symposium on Aging	93.866	(2)	10,400	8,738

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Interaction of Caloric Restriction	(2) 93.866		987,741	394,781
Interaction of Caloric Restriction	(2) 93.866		85,385	30,647
Interaction of Caloric Restriction	(2) 93.866		130,117	76,851
Mitochondrial Failure in Brain	(2) 93.866		293,052	39,668
Project 1: Somatotrophic Axis	(2) 93.866		305,980	72
Enhancing Award from BHP	(2) 93.884		179,270	145,543
Project YES	93.910		602,544	161,264
Illinois Catch on to Health Consortium	93.912		1,285,680	435,268
			41,206,144	12,173,842
Financial Assistance:				
Scholarships for Disadvantaged Students	(1) 93.925		108,889	108,059
Flow-Thru Grants and Contracts:				
<i>Georgia Institute of Technology:</i>				
Multifunctional Polymer Neuronal Probes	(2) 93.853	R7247-G2	93,925	20,674
<i>Shawnee Health Service and Development Corporation:</i>				
Empowering Youth	93. Unknown	C-07-0331	39,383	10,913
Caring Connections Evaluation	93. Unknown	NA	40,000	37,602
<i>Fluid Measurement Technologies:</i>				
Fluid Measurement Technologies	(2) 93. Unknown	NA	255,040	2,096
Fluid Measurement Technologies	93. Unknown	NA	45,463	
<i>Southern Illinois Healthcare:</i>				
HRSA Southern Illinois Rural Health Planning	93. Unknown	NA	17,000	17,000
<i>St. Louis University:</i>				
National Children's Study	(2) 93.847	N27520080003C	50,000	22,567
National Children's Study	(2) 93.847	624918	9,871	(517)
National Children's Study	(2) 93.847	624919	7,596	7,673
<i>Cognosci, Inc.:</i>				
Preclinical Screening	93. Unknown	NA	200,000	(7,538)
<i>Egyptian Arab Agency on Aging:</i>				
Legal Services to Older Persons	93.044	08508/08408	55,805	17,807
Legal Services to Older Persons	93.044	9505-9408	53,174	24,200
Legal Services to Older Persons	93.633	NA	52,480	(464)
<i>Illinois Department of Public Health:</i>				
Alzheimer Demonstration Grant	93.051	83280011	20,000	(393)

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
2009 Disabilities Chronic Disease	93.184	93289003	3,416	3,382
Education and Training of PHLS	93.069	77001001	599,508	116,433
Illinois Breast and Cervical	93.283	86100004	15,000	-
Illinois Asthma Program	93.283	83283009	19,000	11,354
Emergency Medical Response and Trauma	93.889	87200011	200,000	72,660
Emergency Medical Response and Trauma	93.889	87200011	44,932	9,638
Downstate Illinois Care Consortium	93.917	65780417	839,463	212
Downstate Illinois Care Consortium	93.917	75780925	839,114	3,274
Downstate Illinois Care Consortium	93.917	85780680	862,682	688,848
Central IL HIV Care Connect	93.917	95780770	854,674	204,832
Early Childhood Caries Prevention	93.994	83481007	5,000	4,768
Dental Sealant Program	93.994	83480178	11,400	(8,076)
Dental Sealant Program	93.994	93480178	6,900	6,897
<i>University of Kentucky:</i>				
Using Batterer Psychological Profiles	(2)	3048104049-08-27	29,737	10,861
<i>University of Michigan:</i>				
Micronutrition Intervention	(2)	3000910943	56,274	49,987
<i>Illinois Department of Human Services:</i>				
Illinois Developmental Disabilities Telehealth	93.211	44A7001141	37,500	3,082
Telehealth Networks	93.211	44A7001141	657,007	281,160
Employability Development	93.667	10C6001651	55,477	-
Employability Development	93.667	10C6001651	55,477	55,478
Project 12-Ways	93.667	10C6001651	608,011	(13,111)
Project 12-Ways	93.667	10C6001651	608,011	605,640
Mobile School Health Center	93.994	10C6001651	120,000	-
Mobile School Health Center	93.994	10C6001651	103,000	(1,178)
Mobile School Health Center	93.994	10C6001651	100,940	103,312
<i>University of Nebraska:</i>				
Executive Function in Preschool Children	(2)	24-1201-0009-002	255,111	3,584
<i>Illinois Department of Children and Family Services:</i>				
Southern Illinois Methamphetamine Project	(2)	558609017	171,500	1,685
Southern Illinois Methamphetamine Project	(2)	558609019	98,000	100,860
Project 12-Ways Enhancement	93.667	597348027	3,082	(1,077)
Project 12-Ways Enhancement	93.667	597348028	43,082	27,802
<i>University of Illinois:</i>				
Rural Methamphetamine	(2)	2006-07187-01	18,950	13,732
Characteristics of Multidrug Resistance	(2)	494235E6561	63,640	17,989

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Engineering Form and Function Association of American Medical Colleges:	(2) 93.853	2005-02052-01	385,513	102,693
Integrated Curriculum in Population Health	93.283	RMPHEC2006#/ U36CCU319276	100,000	(304)
Integrated Curriculum in Population Health Duke University Medical Center:	93.283	RMPHEC2006#/ U36CCU319276	50,000	24,762
American College of Surgeons Oncology Group National Childhood Cancer Foundation:	93.395	7U10CA76001-4/ 7U10CA86004-02	165,500	3,176
NCCF Clinical Trial Washington University:	93.395	98543-1022	27,150	17,317
Attract Trial-Pharmacomechanical Catheter St. Judes Children Hospital:	93.839	2905378N WU-09-100	1,500	6,158
Gene Transfer into Hematopoietic Stem Cells	93.839	110697150- 7306779	85,267	73,928
Gene Transfer into Hematopoietic Stem Cells Tufts University:	93.839	NA	78,883	64,093
Fact-Ancillary Study University of Florida:	93.849	R01DK65114-01	4,900	303
Form and Function in Neuronal Networks University of Rochester:	93.853	UF-EIES-0934002 -MOD 1	343,797	11,242
Progeni: Parkinson's Disease University of California-San Francisco:	93.853	9703-09-0416-08	16,250	3,295
Bacterial SIt and Innate Immunity University of California-San Diego:	93.856	NCIRE2005-927-A	843,863	152,491
To Reduce Homocysteine and Slow Alzheimer Trial of Valproate to Attenuate Research Foundation for Mental Hygiene, Inc.:	93.866	016	112,500	(57)
Vitamin E in Aging Persons California Pacific Medical Center:	93.866	U01AG10483	152,500	336
Insulin Signaling Gene Expression Illinois Department of Public Aid:	93.866	002458	156,974	7,955
Integrative Neurology EAM Canter Institute	93.778 93.778	2803204-S018 E2004-28 NA	1,372,226 78,000 2,104,665	291,576 (886) 1,753,549

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
			14,405,113	5,037,275
			55,720,146	17,319,176
Total U.S. Department of Health and Human Services				
NATIONAL SCIENCE FOUNDATION				
Direct Grants and Contracts:				
Dual Pressure Discharge	(2) 47.041		197,644	(1,186)
Implementing Mixed-Signal Circuits	(2) 47.041		400,000	95,182
NER: Nanotube-Array-Based Lithography	(2) 47.041		107,431	224
Collaborative Research: Consortium	(2) 47.041		10,000	1,485
Combinatorial Proces Dev for Synthesis	(2) 47.041		346,000	47,395
EXP-SA: Nanofibril Films	(2) 47.041		399,068	57,378
Career: Kinetics of Adsorption	(2) 47.041		400,000	63,860
Static and Dynamic Response	(2) 47.041		236,018	16,623
SGER: Fundamental Studies on Manipulation	(2) 47.041		49,685	27,882
Collaborative Research: Consortium	(2) 47.041		50,000	1,134
Development Plan for Optical/Nuclear Resonance	(2) 47.049		552,000	87,498
Locally Analytic Representations	(2) 47.049		98,889	23,468
Seeking Half-Metallic Alloys	(2) 47.049		284,975	23,973
REU Site for Interdisciplinary Materials	(2) 47.049		228,000	48,443
Robust Statistics	(2) 47.049		89,162	14,009
Study of Observability	(2) 47.049		91,000	41,500
Career: Optoelectronic Sensing	(2) 47.049		372,000	20,653
Stochastic Dynamical Systems	(2) 47.049		136,288	46,746
Statistics and Information Theory	(2) 47.049		118,213	37,758
Polyatomic Adsorbates on Carbon Bundles	(2) 47.049		330,000	106,977
RF Plasma Polymer Modified Maldi Targets	(2) 47.049		350,000	71,667
Investigations in Enantioselective Interactions	(2) 47.049		235,750	63,804
Collaborative Research: Modeling Dynamics	(2) 47.049		35,191	7,853
Career: Programmable Active Lithography	(2) 47.049		457,000	49,998
REU Site for Interdisciplinary Materials	(2) 47.049		60,452	5,629
REU Site for Interdisciplinary Materials	(2) 47.049		139,548	46,155
RCNI: Peatnet Globalization of Northern Peatland	(2) 47.050		498,905	114,603
Acquisition of a Vibrating Sample Magnetometer	(2) 47.050		162,160	(2,027)
Collaborative Research: Magma Dynamics	(2) 47.050		145,651	120,756

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Testing Hypotheses of Latest Pleistocene	(2) 47.050		155,824	28,843
Collaborative Research: Assessment of T-Wave	(2) 47.050		42,761	6,530
CSR-EHS: A Dynamic Compilation	(2) 47.070		132,000	53,938
Distributed Interpretation	(2) 47.070		300,000	63,736
Practical Quantum Error Prevention	(2) 47.070		400,000	93,883
CSR-EHS: A VLIW Architecture	(2) 47.070		132,000	27,231
Collaborative Research: Synthesis Verification	(2) 47.070		100,000	5,617
Collaborative Research: Toward Explicit Theory	(2) 47.074		94,545	39,969
Deep Green Plant Phylogenetics	(2) 47.074		394,276	(8,408)
UMEB: Increasing Diversity	(2) 47.074		59,955	4,342
Microbial Diversity and Function	(2) 47.074		359,998	5,794
Linking Dispersal to Landscape Level	(2) 47.074		124,857	42,346
Hierarchical Consequences of Intraspecific Variation	(2) 47.074		469,589	123,181
Acquisition of Electron Microscope	(2) 47.074		548,067	6,448
Assembling the Liverwort Tree	(2) 47.074		304,663	93,625
Assembling the Liverwort Tree	(2) 47.074		365,856	62,604
Collaborative Research: LTREB	(2) 47.074		124,060	33,374
Is There More Than One Way for Animals	(2) 47.074		100,000	31,278
Collaborative Research in IPY	(2) 47.074		178,867	24,078
Collaborative Research: Using Amphibian Declines	(2) 47.074		264,901	122,842
Collaborative Research: Variable Persistence	(2) 47.074		190,909	83,779
Development of a New Instrument	(2) 47.074		457,234	90,842
Higher Order Chromatin Structure	(2) 47.074		330,000	114,848
Comparative and Historical Yukatekan	(2) 47.075		101,971	8,843
The Archaeological Precursors	(2) 47.075		183,422	48,788
U.S. Jordan Cooperative Research Program	(2) 47.075		30,000	5,206
US Bangladesh Coop Research	(2) 47.075		35,000	4,375
Agricultural Landscape Evolution in an Adaptive Management	(2) 47.075		450,000	57,886
Lower Mississippi River	(2) 47.075		90,806	4,101
Historical Trends in Flow Dynamics	(2) 47.075		29,779	5,180
Collaborative Research: Development of Scenarios	(2) 47.075		95,061	35,096
Choosing Among Causal Agents	(2) 47.075		100,897	35,479
The Changing Face of Andean Peatlands	(2) 47.075		12,000	6,858
Engineering and Technology Expansion Program	47.076		1,173,676	294,136
Heartland Partnerships; Inquiry-Based Education	47.076		1,147,637	412,068
C2P2 Oriented Laboratory Instruction	(2) 47.076		199,997	46,434
Collaborative Proposal: Problem-Based Learning	(2) 47.076		95,285	9,542

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Synoptic Gartersnake Species	(2) 47.076		81,000	41,962
Paleohistory of the Larsen Ice Shelf	(2) 47.078		185,696	24,623
Collaborative Research: Testing the Impacts	(2) 47.078		85,369	46,600
Collaborative Research: Microbially Mediated Anaerobic	(2) 47.078		255,522	31,773
US-Latin America Planning Grant	(2) 47.079		7,999	3,022
Career: Simulation Studies of Time Evolution	(2) 47.082		432,000	12,130
			17,004,509	3,524,262
Flow-Thru Grants and Contracts:				
<i>Twin Cities Public Television:</i>				
Dragonfly TV SciGirls	47.Unknown	20213-1-01958	13,800	51
<i>The Algebra Project:</i>				
Foundation of the Mathematical Literacy	47.076	NA	132,469	60,592
Developing Student Cohorts	47.DRL-0822175	NA	21,650	5,262
<i>SoundVision Production:</i>				
Genetics: Questions and Answers for the 21st Century	47.Unknown	NA	12,000	1,192
<i>Midwest Energy Group, Inc:</i>				
Low-Cost Biodiesel Production	47.IIP-0711652	NA	45,000	8,602
Low-Cost Biodiesel Production	47.IIP-0711652	NA	45,000	
<i>University of Iowa:</i>				
Understanding Water-Human Dynamics	47.041	W000108455	121,300	18,334
<i>Kansas State University:</i>				
Modeling the Economic Cost	47.041	S09011	50,000	45,676
Riparian Influences	47.074	S03066	126,297	21,979
Intra and Interspecific Effects	47.074	S05024	80,746	16,651
Konza Prairie: Community and Ecosystem	47.074	S09060	21,000	7,359
Riparian Influences	47.074	S09062	20,915	7,233
<i>Research Foundation of the State University of New York:</i>				
Nanostructured Fuel Cell Catalysts	47.041	44356/1066058	200,000	35,279
<i>Washington University:</i>				
Collaborative Research	47.050	2905710N	19,221	3,849
<i>University of Minnesota:</i>				
Predictive Stream Restoration Studies	47.050	A536621614	70,000	5,413
<i>University of Notre Dame:</i>				
Inputs of Foliage and Pollen	47.074	200814	117,683	(200)
<i>University of Wisconsin: Madison:</i>				

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Interaction of Below & Above Ground Herbivory <i>University of Oklahoma:</i>	(2) 47.074	P656596	77,984	(1,311)
Joara and Fort San Juan <i>Chicago State University:</i>	(2) 47.075	2007-29	10,080	2,504
ILSAMP	47.076	53327	30,000	19,378
ILSAMP	47.076	53327	30,000	13,433
<i>University of Nebraska:</i>				
Using Foraminefera	(2) 47.078	25-055	49,713	617
Reconstructing Miocene Glaciomarine	(2) 47.078	25-0550-0001-145	78,930	10,542
			1,373,788	282,435
Total National Science Foundation			18,378,297	3,806,697
U.S. DEPARTMENT OF AGRICULTURE				
Direct Grants and Contracts:				
Public Exhibits for Pounds Hollow	(2) 10.03-CS-11090803-020		15,000	(565)
Improvement of Early Season Soybean	(2) 10.CS-03-11090800-022		55,000	10,571
Monitor Avian Use in SNF	(2) 10.05-PA-11090800-009		95,500	10,580
Ecological Classification	(2) 10.FS-05-PA-1		50,000	11,617
Birding the Bottomlands	(2) 10.05-PA-11090800-017		15,000	3,801
Quantifying Erosion	(2) 10.06-PA-11092100-045		117,000	20,365
Harvesting Impacts on Sediment	(2) 10.06-PA-11092100-042		69,525	2,073
Northwest Jackson County Survey	(2) 10.07-PA-11090800-047		19,982	5,505
Surveillance of Fishes	(2) 10.08-9617-0877-CA		210,298	144,875
Identification of Novel Sources	(2) 10.58-6435-5-032		62,000	16,223
Real-Time Monitoring of Crop Canopy	(2) 10.001		13,000	2,025
Midwest Regional Canola Research Program	(2) 10.200		329,426	84,363
Midwest Regional Canola Research Program	(2) 10.200		100,079	40,717
McIntire-Stennis	(2) 10.202		209,352	(488)
McIntire-Stennis	(2) 10.202		173,249	50,385
McIntire-Stennis	(2) 10.202		173,249	85,662
Influence of Dietary Fatty Acids	(2) 10.206		270,000	92,045
Tilling: A Community Tool	(2) 10.206		490,000	90,687
Evaluating Watershed Health Risks	(2) 10.303		575,000	164,730
Illinois Ag Mediation Program	10.435		1,008,912	49,565

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Effect of Habitat Fragmentation	(2) 10.652		57,297	1,622
Institutional Mechanisms of Recreation	(2) 10.652		43,099	5,370
Ecological Analysis of the Tropical Forest	(2) 10.652		5,500	132
Third Fire in the Eastern Oak Forests	(2) 10.664		40,000	539
Jackson County Hazardous Fuels Reduction	(2) 10.664		66,852	16,833
Forest Stewardship Program	(2) 10.678		75,000	21,974
Operation Bootstrap	(2) 10.773		200,000	147,737
			4,539,320	1,078,943
Flow-Thru Grants and Contracts:				
<i>University of Arkansas:</i>				
Charcoal Rot Cultivar Evaluation	(2) 10. Unknown	82116-04	118,298	22,732
<i>University of Illinois:</i>				
Head Scab Management in Wheat	(2) 10.59-07904-133	2003-06974-01	7,955	7,432
Assessing the Opportunities and Challenges	(2) 10.200	2006-05990-06	65,000	8,110
		2006-05981-01/ GC A4977	89,649	43,509
<i>Biotechnology Research</i>				
<i>Michigan State University</i>				
Largemouth Bass	(2) 10.200	61-4109D	80,000	23,243
Feed Training Carnivorous Fish	(2) 10.200	61-4054J	20,000	2,970
Management of Snails for Grub Control	(2) 10.200	61-4141B	121,340	49,611
<i>University of Idaho:</i>				
National Winter Canola Cultivar Development	(2) 10.200	BJKF98_IL	10,312	6,056
<i>University of California at Berkeley:</i>				
The Biogeochemical Importance of Humics	(2) 10.206	SA4	169,374	36,970
<i>Kansas State University:</i>				
Ecotypic Variation and Functional Response	(2) 10.206	S09009	149,813	4,154
<i>Illinois State Board of Education:</i>				
School Meals Initiative	10.560	MY03841	237,200	1,854
School Meals Initiative	10.560	NA	771,301	153,927
Child and Adult Care Food Program	10.558	09-4226	193,518	193,518
Child and Adult Care Commodities	10.558	09-4226	13,099	13,099
			2,046,859	567,185
Total U.S. Department of Agriculture			6,586,179	1,646,128

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
U.S. DEPARTMENT OF LABOR				
Flow-Thru Grants and Contracts:				
<i>Illinois Department of Commerce and Economic Opportunity:</i>				
Illinois Worknet Technical Support	17.258	08-676002	299,589	289,975
Illinois Worknet Technical Support	17.259	08-676002	326,248	318,973
Illinois Worknet Technical Support	17.260	08-676002	365,794	357,636
Inshoring/Homeshoring	17.258	07-634090	12,000	12,000
Inshoring/Homeshoring	17.259	07-634090	13,200	13,200
Inshoring/Homeshoring	17.260	07-634090	14,800	14,800
Local Workforce Investment Training	17.258	05-671261	28,307	3,340
Local Workforce Investment Training	17.259	05-671261	31,138	3,675
Local Workforce Investment Training	17.260	05-671261	34,912	4,120
Illinois Worknet Integration and Training	17.258	07-636031	283,211	1,250
Illinois Worknet Integration and Training	17.259	07-636031	311,532	1,375
Illinois Worknet Integration and Training	17.260	07-636031	349,293	1,542
Illinois Worknet Integration and Training	17.258	07-636031	283,211	1,438
Illinois Worknet Integration and Training	17.259	07-636031	311,532	1,582
Illinois Worknet Integration and Training	17.260	07-636031	349,293	1,774
Illinois Worknet Integration and Training	17.258	08-676001	299,928	269,570
Illinois Worknet Integration and Training	17.259	08-676001	329,921	296,527
Illinois Worknet Integration and Training	17.260	08-676001	369,911	332,469
Total U.S. Department of Labor			4,013,820	1,925,246

U.S. DEPARTMENT OF DEFENSE				
Direct Grants and Contracts:				
XI International Workshop	(2)	12.DAAL03-87-G0110	15,000	496
XXVIII International Workshop	(2)	12.W911NF-04-1-0394	20,000	2,378
Implementation of an Innovative Approach	(2)	12.W9132	246,685	10,794
Monitoring Population Status	(2)	12.W912HZ-06-C-0028	440,840	(22)
Monitoring Population Status	(2)	12.W912HZ-07-C-0009	1,116,379	356,521
Prototype to Estimate Location	(2)	12.N00164-07-C-8212	80,000	42,568
Spatial Assessment of Cumulative Vehicle Use	(2)	12.W9132T-08-2-0019	53,396	34,876
Assisted Migration as a Management Tool	(2)	12.SI-1692	28,552	55,104

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Genetic Purity of 2008 Pallid Sturgeon	(2) 12.W9128F-09-M-G010		9,400	17
Evaluation of Native and Non Native Fishes Management and Conservation	(2) 12.W912HZ-05-C-0031		324,000	(22,291)
Tinker Air Force Base Survey	(2) 12.W913ZT-06-2-0013		71,209	13,994
Low Power Terahertz Optical	(2) 12.W913ZT-07-2-0018		29,308	2,410
Dynamic Loading on Composite	(2) 12.300		507,703	70,756
Vagus Nerve Stimulation	(2) 12.300		90,000	39,492
DRG1 in Breast Cancer	(2) 12.420		216,750	101,819
Microna-Targeting Genes	(2) 12.420		425,887	134,047
Solidago Vigaurea for Prostate	(2) 12.420		108,375	-
Targeting Fatty Acid Synthase Gene	(2) 12.420		541,348	143,453
NF-KB Pathway in Lymphangiogenesis	(2) 12.420		101,442	4,929
Thromboxane A2	(2) 12.420		76,136	22,537
Genetic Selection for Microna Targets	(2) 12.420		433,409	22,237
Effect of Stromal Adipokines on Breast Cancer	(2) 12.420		108,375	12,595
Pregnane X Receptor	(2) 12.420		108,375	29,690
Investigation to Understand Adaptation Mechanisms	(2) 12.431		85,810	8,537
XXX International Workshop	(2) 12.431		50,000	61,229
XXXI International Workshop	(2) 12.431		5,000	488
Monitoring Responses of the Texas Horned Lizard	(2) 12.630		11,000	5,276
Choosing Among Causal Agents	(2) 12.800		28,000	11,710
Rankin-Selberg Integrals	(2) 12.901		142,924	84,338
			14,116	10,618
			5,489,419	1,260,596
Flow-Thru Grants and Contracts:				
<i>University of California at Berkeley:</i>				
An Enzymatic Bioassay	(2) 12. Unknown	SA5362	173,771	86,758
ICI Services LLC:				
Surface Hydrolog, Erosion and Sedimentation	(2) 12. Unknown	NA	409,724	120,255
<i>Academy of Applied Sciences:</i>				
Illinois Junior Science Symposium	(2) 12. Unknown	NA	17,000	411
Sierra Nevada Corporation:				
Agent-Based Methods for Intelligence	(2) 12. Unknown	55200	35,000	(7,763)
Agent-Based Man on the Loop	(2) 12.FA8750-05-C-0002	SNC07C0155	115,214	79,056
<i>American Chemical Society:</i>				
First Principles Study of Light Metal	(2) 12.800	44103-G10	35,000	16,702

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Total U.S. Department of Defense				
U.S. DEPARTMENT OF COMMERCE				
Direct Grants and Contracts:				
The Role of Seedbanks in Coastal Vegetation	(2) 11.420		20,000	5,031
WSIU-FM PTFP Grant for Standby Generator	11.550		63,035	27,270
Flow-Thru Grants and Contracts:				
<i>Illinois International Education Consortium:</i>				
Study Illinois Website Support	11. Unknown	NA	5,000	1,995
<i>Illinois Department of Commerce and Economic Opportunity:</i>				
Small Business Development Center	11. Unknown	07-801125	246,000	(28)
Small Business Development Center	11. Unknown	08-20110	200,000	(7,188)
Small Business Development Center	11. Unknown	09-801125	180,000	175,917
<i>Bradley University:</i>				
Manufacturing Extension-NIST	11.611	NA	1,245,681	311,677
Manufacturing Extension-NIST	11.611	NA	994,317	750,267
Total U.S. Department of Commerce				
U.S. DEPARTMENT OF THE INTERIOR				
Direct Grants and Contracts:				
Special Wildlife Studies	(2) 15. Unknown		229,189	9,319
The Origin of Salinity Changes	(2) 15.02ERSA0298		24,500	219
Current and Projected Nest Site	(2) 15.301816G031		68,809	17,108
Using Nutrient Reserve Dynamics	(2) 15.3018		70,629	2,124
Development of Hydrologic and Geospatial Data	(2) 15.07ERSA0263		27,000	(1,605)

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Development of a Genetic Management Plan	15.DE-GG65- 07WGB37209		33,324	2,178
Snail Control Project	15.608		5,359	66
Mourning Doves Ingest Lead Shot	15.617		72,461	22,743
Invasive Species Survey	15.642		7,900	(60)
Development of Single Markers	15.808		70,723	61,836
Pesticide Tolerance in Native Frogs	15.808		42,000	25,050
Remote Sensing Investigation	15.900		15,000	2,716
Cholinesterase Activity Levels	15.900		8,440	5,287
Assessing Fire for Control	15.900		114,200	49,445
			789,534	196,426
Flow-Thru Grants and Contracts:				
<i>Nebraska Game and Parks Commission:</i>				
Evaluation of Soils	15.Unknown	NA	33,380	(2,349)
<i>Kentucky Department of Fish and Wildlife Resources:</i>				
Using GIS-Based Techniques	15.Unknown	CF1463	39,000	17,897
<i>Little River Band of Ottawa Indians:</i>				
A Comprehensive Management Plan	15.L3-05-019	NA	157,039	16,294
<i>West Virginia University:</i>				
NMLRC-FY95-Technology Transfer	15.308	SIUC00	77,342	(669)
NMLRC-FY94-Direct Seeding of Late Tree Species	15.308	88-345-SI	27,409	(196)
<i>Illinois Department of Natural Resources:</i>				
Cooperative Upland Research and Surveys	15.611	W-106-R-17	149,277	
Evaluation of Potential Habitat for Peregrine Falcon	15.611	T-30-P-1	40,672	750
Mortality in Woodchucks	15.611	W-150-R-1	49,806	22,795
Cooperative Upland Research and Surveys	15.611	W-106-R-18	186,761	30,559
Cooperative Beaver and Nongame Mammal	15.611	W-135-R-09	149,971	(8,880)
Ecology of White Tailed Deer	15.611	W-087-R-30	168,764	(2,662)
Population Assessment of Golden Mice	15.611	W-135-R-09	37,000	(2,500)
Cooperative Beaver and Nongame Mammal	15.611	W-135-R-10	149,964	147,977
Ecology of White Tailed Deer	15.611	W-087-R-31	130,591	130,854
Cooperative Upland Research and Surveys	15.611	W-106-R-19	149,766	149,618
Evaluating Wetland Habitat	15.611	W-151-R-1	75,154	67,421
Population Assessment of Golden Mice	15.611	W-135-R-10	37,000	37,791
Ecological Effects of Mute Swan Foraging	15.611	W-153-R-1	50,000	35,655

**SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year Ended June 30, 2009**

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
A Quantitative Survey of Land Snail	(2) 15.634	T-32-P-1	45,694	3,146
Demonstrating the Benefits of In-Stream Restoration	15.634	T-51-D-1	111,786	57,232
Survey for the Pathogen Bactrachomyxium	(2) 15.634	T-56-R-1	210,000	55,583
Illinois Conservation Opportunity Areas	15.634	T-55-P-1	311,000	55,428
<i>Southeastern Regional Planning and Development Commission:</i>				
Prescribed Burning Effectiveness Monitoring	15.634	NA	10,000	1,415
<i>University of Illinois:</i>				
Evaluating Alternatives for Watershed-Scale Design	(2) 15.805	006HQCR0185	90,948	9,179
Total U.S. Department of the Interior				822,338
1,018,764				
U.S. DEPARTMENT OF TRANSPORTATION				
Flow-Thru Grants and Contracts:				
<i>GCR & Associates, Inc:</i>				
Airport Safety Data Program	20.Unknown	NA	127,916	(148)
Airport Safety Data Program	20.Unknown	NA	134,074	58,528
Airport Safety Data Collection	20.Unknown	NA	140,179	89,405
National Airport Safety Data Collection	20.Unknown	NA	17,000	4,418
<i>Southern Illinois Airport Authority:</i>				
Southern Illinois Airport Safety Management	20.106	NA	50,000	10,436
<i>Illinois Department of Transportation:</i>				
Rural Medical Transportation Network	20.205	SIU-RMTN SPR-07	731,946	253,246
Institute of Intelligent Systems	20.205	ITS-0517(109)	1,004,840	47,825
Rural Medical Transportation Network	20.515	SIU-RMTN SPR-07	85,150	12,850
Southwestern Illinois Regional Occupant Protection	20.600	OP60865110	98,876	(238)
Southwestern Illinois Regional Occupant Protection	20.600	OP8-0865-066	104,732	23,645
Southwestern Illinois Regional Occupant Protection	20.600	OP9-0865	103,197	74,706
Think First	20.600	NA	107,106	32,807
Think First	20.600	NA	282,558	84,348
Think First	20.600	OP9-5480-224	261,759	175,912
Think First	20.600	OP9-5480-224	98,078	64,704
Integrated Mini-Grant Alcohol Enforcement Program	20.601	AL8-0865-062	19,603	5,899
Child Passenger Safety Resource Center	20.602	OP2-0865-275	50,514	(2,926)
Boost IL, Child Passenger Safety Program	20.613	B19-5480-286	7,000	1,117

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Total U.S. Department of Transportation				
U.S. DEPARTMENT OF ENERGY				
Direct Grants and Contracts:				
Alcohol in E Coli	(2) 81.FG02-88ER13941		1,775,277	15,088
Development and Demonstration	(2) 81.DE-FC26-99FT40029		137,424	22,127
Qualifications of Candle Filters	(2) 81.FC26-03-NT41839		1,350,000	82,040
Understanding Compound Phase Transitions	(2) 81.FG02-06ER46291		511,149	133,047
Equipment Request for the Belleville Center	(2) 81.DE-FC36-08GO88040		492,000	48,700
First Principles Based Simulation	(2) 81.041		600,000	164,549
Value Added Products from FGDS	(2) 81.057		199,999	50,768
Flow-Thru Grants and Contracts:				
UT-Battelle, LLC:			5,065,849	516,269
Distributed Computational Monitoring	(2) 81.DE-AC05-00OR22725	640006042	65,362	7,498
West Virginia University:				
CBRC Administration	(2) 81.DE-FC26-98FT40028	98-166	373,938	16,249
Development of Coal Combustion Products	(2) 81.DE-FC26-98FT40028	98-166	113,880	20
Development of Coal Combustion Products	(2) 81.DE-FC26-98FT40028	98-166	51,000	36,047
Kentucky Environmental and Public Protection Cabinet:				
Contaminant Burdens and Abundance of Turtles	(2) 81.502	600004073	35,000	359
University of California at Berkeley:				
Molecular Determinants of Community Activity	(2) 81.Unknown	683	259,874	132,819
Immobilization of Radionuclides	(2) 81.049	SA4825-32397PG	225,735	45,320
Functional Role of Infective Viral Particles	(2) 81.049	SA5660-11614	45,590	40,872
Anaerobic Bio-Oxidation of Uraninite	(2) 81.049	5642-11568	380,000	4,880
Iowa State University:				
Expansion of Ethanol Production	(2) 81.087	404-40-89	141,269	19,006
University of Illinois:				
Gas Release & Storage Properties	(2) 81.089	2005-05060-04	193,590	34,615
Imaging and Modeling of CO2 Flow	(2) 81.089	A5507-IS 2005-05060-24	196,985	71,957

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Contaminant Burdens & Abundance of Turtles	(2) 81.104	P02-129- 0800019241	39,094	32,597
Total U.S. Department of Energy			2,121,317	442,239
NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES			7,187,166	958,508
Direct Grants and Contracts: 21st Century Collection Management Initiative	45.301		149,962	93,719
Flow-Thru Grants and Contracts: <i>American Association of Family and Consumer Sciences:</i> Art Synergy Fall Workshops	45.Unknown	R2-12	400	(80)
Total National Foundation on the Arts and the Humanities			150,362	93,639
LIBRARY OF CONGRESS				
Direct Grants and Contracts: Teaching with Primary Sources	42.Unknown		444,357	166,248
Total Library of Congress			444,357	166,248
U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT				
Flow-Thru Grants and Contracts: <i>New Mexico State University:</i> Afghanistan Water Agriculture	98.306-A-00-08-00506	Q01125	925,000	290,487
Total U.S. Agency for International Development			925,000	290,487
ENVIRONMENTAL PROTECTION AGENCY				
Direct Grants and Contracts:				

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Quantification of VAM Emission Fate and Effects of Sediment Temperature as a Component of Toxicity Vermicomposting of Food Wastes	(2) 66.034 (2) 66.607 (2) 66.607 (2) 66.808		77,000 39,228 26,167 16,000	30,968 14,482 3,326 808
Flow-Thru Grants and Contracts:			158,395	49,584
<i>Illinois Environmental Protection Agency:</i>				
Intensive Basin Survey Internship <i>University of California at Berkeley:</i>	(2) 66.000	FW7312	29,274	6,815
Reducing Unexplained Toxicity <i>Indiana State University:</i>	(2) 66.114	SA5768-77094	100,000	55,639
Environmental Contaminants Biofuel Feedstock Landscape Coverage	(2) 66.469 (2) 66.511	NA	17,000 36,865	1,764 16,061
Total Environmental Protection Agency			183,139	80,279
U.S. DEPARTMENT OF JUSTICE			341,534	129,863
Direct Grants and Contracts:			89,435	46,134
Violence Victimization and Perceptions of Crime	16.2008-DD-BX-0625			
Flow-Thru Grants and Contracts:			89,435	46,134
<i>City of Carbondale, Illinois:</i>				
Domestic Violence <i>Land of Lincoln Legal Assistance Foundation Inc.:</i>	16.2004-WE-AX-0018	NA	194,117	78,359
Legal Assistance to Victims <i>Illinois Criminal Justice Information Authority:</i>	16. Unknown	NA	100,483	40,097
Evidence Based Practices Project Critical Incident Preparedness and Response Student Perceptions of Campus Safety	(2) 16. Unknown (2) 16. Unknown (2) 16. Unknown	NA NA NA	19,241 79,546 69,383	7,532 19,694 13,241

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Total U.S. Department of Justice				
U.S. DEPARTMENT OF STATE				
Direct Grants and Contracts:				
Promoting Transparency in Government	19.415		240,337	16,540
Strengthening Media Professionalism	19.415		201,979	9,296
Strengthening Media Professionalism	19.415		72,984	8,419
Summer Institute on the U.S. Political System	19.418		113,000	(1,538)
Summer Institute on the U.S. Political System	19.418		59,000	(1,868)
Flow-Thru Grants and Contracts:				
<i>Academy for Educational Development:</i>				
Study of the US Institute	19-S-ECAAE-08-CA-053	3957-02-02	67,755	44,186
Study of the US Institute	19-S-ECAAE-08-CA-053	3957-02-02	92,873	58,645
Study of the US Institute	19-S-ECAAE-09-CA-056	4135-02-02	95,933	33,175
Total U.S. Department of State				
U.S. DEPARTMENT OF HOMELAND SECURITY				
Flow-Thru Grants and Contracts:				
<i>Illinois Emergency Management Agency:</i>				
Southern Illinois Multi-Hazard Mitigation	97.047	NA	323,201	124,386
Illinois State Police Graduate Internship	97.067	NA	5,796	2,489
Total U.S. Department of Homeland Security				
U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT				
Total U.S. Department of Housing and Urban Development				

SOUTHERN ILLINOIS UNIVERSITY CARBONDALE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Flow-Thru Grants and Contracts:				
<i>Illinois Department of Public Health:</i>				
HOPWA FY08	14.241	85780571	78,336	31,305
HOPWA FY09	14.241	95780613	78,336	39,955
Total U.S. Department of Housing and Urban Development				
			156,672	71,260
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION				
Direct Grants and Contracts:				
Papers of Ulysses S Grant	89.003		10,000	10,000
Papers of Ulysses S Grant	89.003		10,000	10,000
Total National Archives and Records Administration			20,000	20,000
TOTAL FEDERAL ASSISTANCE			\$ 196,532,032	\$ 57,973,261

SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
U.S. DEPARTMENT OF EDUCATION				
Direct Grants and Contracts:				
Upward Bound EC 03/08-USDE	(4) 84.047	P047A030483	\$ 2,898,142	\$ 122,874
Upward Bound Math & Science 03/08-USDE	(4) 84.047	P047M030192	1,286,387	119,992
Upward Bound-BEV 03/08-USDE	(4) 84.047	P047A030225	1,492,160	75,991
Talent Search-CM 06/10-USDE	(4) 84.044	P044A060116	899,800	238,794
Talent Search 07/11	(4) 84.044	P044A070125	515,538	257,282
Upward Bound -BEV FY09	(4) 84.047	P047A080489	301,552	206,904
Upward Bound-EC 08/12	(4) 84.047	P047A080484	565,548	359,296
Upward Bound Math and Science 08/12	(4) 84.047	P047M080163	263,415	150,505
			8,222,542	1,531,638
Financial Assistance:				
SUPPLEMENTAL EDUCATION OPPORTUNITY GRANT FY2006	(1) 84.007	P007A051286	427,426	9,253
SUPPLEMENTAL EDUCATION OPPORTUNITY GRANT FY2007	(1) 84.007	P007A061286	384,684	123,222
NATIONAL SMART GRANT FY2009	(1) 84.376	P376S080116	121,520	121,520
ACADEMIC COMPETITIVENESS GRANT (ACG) FY2009	(1) 84.375	P375A080116	169,375	169,375
PELL GRANT PROGRAM FY2008	(1) 84.063	P063P070116	7,557,223	32,521
PELL GRANT PROGRAM FY2009	(1) 84.063	P063P080116	8,772,758	8,851,865
SUPPLEMENTAL EDUCATION OPPORTUNITY GRANT FY 2008	(1) 84.007	P007A071286	427,426	3,167
SUPPLEMENTAL EDUCATION OPPORTUNITY GRANT FY 2009	(1) 84.007	P007A081286	427,426	462,980
FEDERAL WORK STUDY PROGRAM FY2009	(1) 84.003	P033A081286	608,573	696,241
FEDERAL WORK STUDY PROGRAM FY2005	(1) 84.003	P033A041286	825,163	178,270
FEDERAL WORK STUDY PROGRAM FY2006	(1) 84.003	P033A051286	778,651	194,662
TEACH GRANT	(1) 84.379	P379T090116	4,000	4,000
			20,504,225	10,847,076

Flow-Thru Grants and Contracts:
 Illinois Community College Board

SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Index of Need FY08	84.002	AEL08001	29,500	824
SIPDC Professional Development Center 07/08	84.002	AEL08005	327,972	2,286
Index of Need - FY2009	84.002	AEL09001	29,500	28,836
SI Professional Development Center 08/09	84.002	AEL09005	347,972	332,717
			734,944	364,663
<i>Illinois Board of Higher Education</i>				
Hands on Science: Improving Science Teacher Quality	84.367	N/A	297,307	174,491
Hands-on-Science: Improving Science Teacher Quality	84.367	N/A	203,342	94,855
			500,649	269,346
<i>Higher Education Consortium</i>				
Educational Opportunity Center FY2009	84.066	N/A	144,032	98,752
Educational Opportunity Center FY07/08-HEC	84.066	N/A	170,927	25,056
			314,959	123,808
<i>Illinois State Board of Education</i>				
Early Intervening Services and Responses-ISBE/USDE	84.027	IDEA463IRC	1,579,810	387,276
		4936-70-41-057		
Mathematics & Science Leadership Initiative 2	84.366	-029P-00	194,352	48,518
			1,774,162	435,794
<i>Madison County/Regional Office of Education</i>				
MASLI 06/07 - MCROE/ISBE	84.366	N/A	280,010	11,525
<i>University of California</i>				
Piassa Bluffs Writing Project	84.000	#08-IL06	76,000	33,012
TOTAL U.S. DEPARTMENT OF EDUCATION			32,407,491	13,616,862

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES

SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Direct Grants and Contracts:				
Head Start Basic Oper. Grant 08/09	(3) 93.600	05CH5228/26	8,928,838	7,110,416
Head Start-T/TA 08/09	(3) 93.600	05CH5228/26	107,421	85,008
Early Head Start Base Oper. 08/09	(3) 93.600	05CH5226/26	1,304,746	1,010,501
Early Head Start T/TA 08/09	(3) 93.600	05CH5228/26	32,619	29,587
Early Head Start Base Operating Grant 09/10	(3) 93.600	05CH5228/27	1,341,714	250,140
Early Head Start Training & Technical Assistance 09/10	(3) 93.600	05CH5228/27	32,619	12,732
St. Clair Head Start-Base Operating 09/10	(3) 93.600	05CH5228/27	8,777,829	1,734,454
Head Start Training and Technical Assistance 09/10	(3) 93.600	05CH5228/27	107,421	21,223
Investigating the Role of AIG1	(2) 93.390	1R15NS063315-01	214,500	124,615
Exercise Dose and Nonalcoholic Fatty Liver Disease	(2) 93.848	7K01DK078738-03	204,968	75,922
Nurse Anesthetist Traineeship 08/09	93.124	A22HP07388-03-00	25,600	25,600
Advanced Education Nursing Traineeship	93.358	A10HP08265-01-00	61,950	61,950
			21,140,225	10,542,148
Financial Assistance:				
Scholarship for Disadvantaged Students (SDS) Nursing '2007	(1) 93.925	T08HP04714-02-00	52,157	1,040
Flow-Thru Grants and Contracts:				
<i>Illinois Department of Children and Family Services</i>				
Project Success 09/10	93.667	Provider#075226	205,816	146
Project Success FY08/09	93.667	Provider#075226	190,373	189,523
			396,189	189,669
<i>Illinois Department of Public Health</i>				
Early Childhood Caries Community Planning Grant-IDPH	(2) 93.113	83481008	5,000	5,070
Oral Health Programs-IDPH	93.994	73480183	1,300	1,187
Asthma Community Collaborative Implementation	93.293	83283012	19,000	1,982
Asthma Community Collaborative Implementation Initiative FY09	93.293	93283010	29,000	12,321
			54,300	20,560
<i>Lewis and Clark Community College</i>				
A Nurse Managed Clinic in Rural Illinois	(2) 93.358	06-0-4020450 -5302000000	58,140	18,190
<i>Southern Illinois University Carbondale</i>				

SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Live Free Tobacco Free	92.283	23086-7603Z	35,500	1,115
<i>University of Tennessee</i>				
PAH/Metal Exposure and Effects Assessment	(2) 93.115	1 R15 ES013129-01	52,000	205
<i>Washington University</i>				
Bone Active Treatments for Chronic Periodontitis	(2) 93.121	WU-07-226/NIDCR -1 R01DE016918- 01A2	57,376	17,273
<i>St. Louis University</i>				
National Children's Study-St. Louis City Site	(2) 93.847	HHSN267 200700033C	223,266	48,361
National Children's Study-Macoupin County Site	(2) 93.847	HHSN267 200700033C	201,872	22,596
			425,138	70,957
			22,271,025	10,861,157
TOTAL U.S. DEPARTMENT OF HEALTH & HUMAN SERVICES				
NATIONAL SCIENCE FOUNDATION				
Direct Grants and Contracts:				
Creating Educational Opportunities Through Partnerships-NSF	(2) 47.076	DUE-0806608	599,695	10,134
Optimal Control Mathematical Models for Cancer-NSF	(2) 47.049	DMS-0408827	102,015	693
Collaborative Research: Pediatric Vaccine -NSF	(2) 47.041	DMI-0456945	111,808	33,486
RUI: The Metal Alkyne Interaction-NSF	(2) 47.049	CHE-0514745	214,000	37,029
Determinants of Molecular Recognition-NSF	(2) 47.074	MCB-0606700	101,349	3,082
Assay Development for a Biosensor-NSF	(2) 47.041	BEC-0538739	599,999	81,427
Biosolids on Agricultural Land-NSF	(2) 47.041	CBET/BES-0553322	123,350	19,441
Development of a Pulse Shape-CMOS ASIC-NSF	(2) 47.049	PHY-0618996	192,754	46,585
Spectroscopy of Pd and Pt Catalytic Mimetics-NSF	(2) 47.049	CHE-0612931	168,740	30,691
Collaborative Project Gemini SPM	(2) 47.076	DUE-0633186	74,975	8,563
RUI Collaborative Research-Analysis of Optimal and Suboptimal Controls	(2) 47.049	DMS-0707404	148,287	62,461
Collaborative Research: Phylogeography, Ecology	(2) 47.074	DEB-0716964	193,750	53,896
Collaborative Research: Novel 3D Nanocomposites	(2) 47.041	CMMI0757547	66,977	22,407

SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Commonsense Computing: What Students Should Know Before We Teach (2)	47.076	DUE-0736958	25,626	2,866
Collaborative Research: A Disciplinary Commons in Computing Education-N (2)	47.076	DUE-0817254	80,005	6,917
			2,803,330	419,678
Flow-Thru Grants and Contracts:				
Chicago State University				
Increasing the Minority Scientist Pool Phase III-Year 3	47.076	HRD-0413000	44,000	34,129
<i>Blended Integrated Circuit Systems, LLC</i>				
Blended Clocked and Clockless Integrated Circuit Systems (2)	47.041	IIP-0741055	45,288	33,705
<i>Road Narrows, LLC</i>				
STTR Phase I: General Robot Controller (2)	47.041	IIP-0711909	67,866	16,970
SBIR/STTR Phase II: General Robotic Controller (2)	47.041	IIP-0848762	156,400	19,274
			224,266	36,244
<i>University of Iowa</i>				
Using Cognitive Load Theory to Design and Assess Questions (2)	47.076	W000061078/DUE-0618600/100557945	61,476	31,151
			3,178,360	554,907
TOTAL NATIONAL SCIENCE FOUNDATION				
U.S. DEPARTMENT OF AGRICULTURE				
Direct Grants and Contracts:				
ARS/Ethanol Research Agreement-USDA (2)	10.001	580790-3-149/ 59-0790-3-149	1,899,625	107,567
Flow-Thru Grants and Contracts:				
<i>Illinois State Board of Education</i>				
Head Start - Food Service	10.558	41-057-029P-00	-	432,160
Project Success - Food Service	10.558	41-057-029P-00	-	34,709
ECC Food Service	10.558	41-057-029P-00	-	13,087
PALS - Food Service	10.558	41-057-029P-00	-	4,269
Summer Food Program	10.558	41-057-029P-00	-	13,986
School Lunch Program	10.558	41-057-029P-00	-	24,187

SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
TRIO Food Service	10.558	41-057-029P-00		13,135 535,533
TOTAL U.S. DEPARTMENT OF AGRICULTURE				
U.S. DEPARTMENT OF LABOR				
Flow-Thru Grants and Contracts:				
<i>Michigan State University</i>				
Workforce Training-Michigan State	17.261	N/A	281,050	24,945
<i>Illinois Community College Board</i>				
SIPDC Professional Development Center FY2009	17.267	WIA09001	27,000	26,200
TOTAL U.S. DEPARTMENT OF LABOR				
U.S. DEPARTMENT OF DEFENSE				
Direct Grants and Contracts:				
Faunal Survey of Lake Wappapello-USACE	12.100	DACW43-02-P-1011	144,000	1,258
Flora of Lake Wappapello-USACE	12.100	DACW43-02-T-7027	36,000	63
Spatially Explicit Assessments of Genetic Biodiversity and Dispersal in Gopht	12.300	W912HQ-06-P-0053	100,000	368
			280,000	1,689
Flow-Thru Grants and Contracts:				
Washington University				
Development of a Digital Interface for PSD8C Chip	12.000	WU-HT-09-40/DEAC52-06NA25396DOE	65,000	14,479
TOTAL DEPARTMENT OF DEFENSE				
U.S. DEPARTMENT OF COMMERCE				
Direct Grants and Contracts:				
			345,000	16,168

SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
The Creation of an Economic Development Administration at SIUE-USDOC	(2)	06-66-05055	475,581	4,445
EDA-Economic Development Administration-DOC	(2)	06-66-05311	158,527	137,388
TOTAL US DEPARTMENT OF COMMERCE				
U.S. DEPARTMENT OF THE INTERIOR				
Direct Grants and Contracts:				
Evaluating Bat Habitat Conditions	(2)	S1111/S2121/SS064	22,000	19,708
Examining Hybridization Relationships	(2)	10.000/SX 431A000 3018187219	4,400	1,100
TOTAL US DEPARTMENT OF THE INTERIOR				
Flow-Thru Grants and Contracts:				
<i>Illinois Department of Natural Resources</i>				
Mine Subsidence Project Aide	(2)	N/A	-	218
Mine Map Preservation Implementation Project 07-10	(2)	AML-GSwA-0700E	708,575	219,666
Subsidence Monitoring Response Team 07/08	(2)	AML-GSwA-0700D	104,398	6,740
Subsidence Monitoring Response Team - FY2009	(2)	AML-GSwA-0800D	116,430	104,668
FY09 Subsidence Service Agreement	(2)	N/A	2,000	576
TOTAL US DEPARTMENT OF THE INTERIOR				
<i>Kansas State University</i>				
Assessment of Buff-breasted Sandpiper-KSU	(2)	S06041	37,000	14,746
TOTAL U.S. DEPARTMENT OF TRANSPORTATION				
Flow-Thru Grants and Contracts:				
<i>University of Illinois</i>				
Evaluation of 3-D Laser Scanning for Construction Applications	(2)	2005-05222-16 /D8388	72,253	9,487
Evaluation of 3-D Laser Scanning for Construction Applications 2	(2)	2008-04435-03	74,246	63,616
TOTAL US DEPARTMENT OF TRANSPORTATION				
TOTAL US DEPARTMENT OF TRANSPORTATION				

SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
U.S. DEPARTMENT OF ENERGY				
Flow-Thru Grants and Contracts:				
<i>University of Tennessee/Battelle-Oak Ridge National Laboratory</i>	(2) 81.000	4000050854	32,000	2,222
<i>Oxidative Damage in Gopher Frogs and Gopher Tortoises/UT Sandia National Laboratory</i>				
<i>Modeling of Laser Systems for Sandia National Laboratory</i>	(2) 99.999-FEDERAL-NO CFDA N	788951	19,997	19,845
TOTAL US DEPARTMENT OF ENERGY				
NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES				
Direct Grants and Contracts:				
<i>Documenting Edo North Languages-NEH Landmarks of American History and Culture</i>	(2) 47.075 45.163	PD-50004-06 BH-50209-07/08	174,999 291,720 466,719	58,157 144,211 202,368
Flow-Thru Grants and Contracts:				
<i>Illinois Arts Council</i>				
<i>Youth Arts Program FY08</i>	45.024	20080487	15,666	14,716
<i>Summer Youth in the Arts Internship FY07/08</i>	45.024	20080970	2,400	2,400
<i>Arts and Issues 2008-2009-IAC</i>	45.024	20090403	5,170	5,170
<i>Summer Youth Arts Internship FY08/09</i>	45.024	20090977	3,720	2,512
<i>Youth Arts Program East St. Louis FY08/09</i>	45.024	20090594	14,000	11,462
TOTAL NATIONAL ENDOWMENT FOR THE ARTS & HUMANITIES				
U.S. SMALL BUSINESS ADMINISTRATION				
Flow-Thru Grants and Contracts:				
<i>Illinois Dept. of Commerce and Community Affairs</i>			507,675	238,628
<i>Entrepreneurship Center FY08</i>	59.037	08-51103	150,000	39,220
<i>Illinois Entrepreneurship Network SBDC/ITC FY08</i>	59.037	08-801126	210,000	24,898

SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Small Business Development Center FY2009	59.037	08-561126	94,500	94,500
Entrepreneurship Center 09/10	59.037	09-051123	150,000	75,589
FY09/10 Small Business Development Center	59.037	09-181126	94,500	89,590
			699,000	323,797
TOTAL U.S. SMALL BUSINESS ADMINISTRATION			699,000	323,797
LIBRARY OF CONGRESS				
Direct Grants and Contracts:				
Adventures in the American Mind/Teaching w/ Primary Resources	LOC	00G-LIB-ND001	2,142,101	153,345
			2,142,101	153,345
TOTAL LIBRARY OF CONGRESS				
U.S. ENVIRONMENTAL PROTECTION AGENCY				
Direct Grants and Contracts:				
Acute and Developmental Toxicity of Metal Oxide Nanoparticles in Fish and (2)	66.509	RD-83284201-0	375,000	101,607
			375,000	101,607
TOTAL U.S. ENVIRONMENTAL PROTECTION AGENCY				
U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT				
Flow-Thru Grants and Contracts:				
Community Development Block Grant				
CDBG Youth Arts FY08	14.218		7,500	7,691
			7,500	7,691
TOTAL U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT				
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION				
Direct Grants and Contracts:				

SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 Year Ended June 30, 2009

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
The Universal Zoo: Citizen Science - NASA	(2) 43.000	NNX09AD34G	181,077	57,686
TOTAL NASA			181,077	57,686
U.S. DEPARTMENT OF STATE				
Flow-Thru Grants and Contracts:				
<i>Fulbright - CIES</i>				
Bilal al-Shamism: Politics of Identity in Early Modern Syria-Fulbright	(2) 19.401		55,735	16,830
TOTAL FULBRIGHT CIES			55,735	16,830
U.S. GEOLOGICAL SOCIETY				
Direct Grants and Contracts:				
Robust Analytic Methods in Spatially Complex Systems	(2) Dept. Unknown	G09PX01015	20,000	11,792
TOTAL US GEOLOGICAL SURVEY			20,000	11,792
U.S. POSTAL SERVICE				
Direct Grants and Contracts:				
Southern Illinois University Branch Post Office	287140-90-P-0079		-	4,181
TOTAL U.S. POSTAL SERVICE			-	4,181
TOTAL FEDERAL ASSISTANCE			\$ 66,225,046	\$ 27,263,321

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year ended June 30, 2009

NOTE A - BASIS OF PRESENTATION

The accompanying schedule of expenditures of federal awards includes the federal grant activity of Southern Illinois University (the "University") and is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements.

NOTE B - STUDENT LOAN PROGRAMS ADMINISTERED BY THE UNIVERSITY

The University administered the following federal loan programs during the year ended June 30, 2009:

	<u>Carbondale</u>	<u>Edwardsville</u>	<u>Total</u>
Perkins Loan Program CFDA #84.038			
Outstanding balance, July 1, 2008	\$ 15,178,510	\$ 2,292,159	\$ 17,470,669
Additions:			
Interest income	175,016	31,004	206,020
Decrease in provision for notes receivable	<u>-</u>	<u>23,640</u>	<u>23,640</u>
Total additions	175,016	54,644	229,660
Deductions:			
Loans canceled or written-off, net	31,390	(80,307)	(48,917)
Administrative charges	-	18,000	18,000
Increase in provision for notes receivable	<u>295,590</u>	<u>-</u>	<u>295,590</u>
Total deductions	<u>326,980</u>	<u>(62,307)</u>	<u>264,673</u>
Outstanding balance, June 30, 2009	<u>\$ 15,026,546</u>	<u>\$ 2,409,110</u>	<u>\$ 17,435,656</u>

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year ended June 30, 2009

NOTE B - STUDENT LOAN PROGRAMS ADMINISTERED BY THE UNIVERSITY
(Continued)

	<u>Carbondale</u>	<u>Edwardsville</u>	<u>Total</u>
Nursing Student Loan Program CFDA #93.364			
Outstanding balance, July 1, 2008	\$ -	\$ 2,758	\$ 2,758
Additions:			
Interest income	-	529	529
Total additions	-	529	529
Deductions:			
Loans canceled or written off, net	-	(1,518)	(1,518)
Total deductions	-	(1,518)	(1,518)
Outstanding balance, June 30, 2009	<u>\$ -</u>	<u>\$ 4,805</u>	<u>\$ 4,805</u>
Health Professionals Loan Program CFDA #93.342			
Outstanding balance, July 1, 2008	\$ 1,370,908	\$ 273,629	\$ 1,644,537
Additions:			
Interest income	48,052	6,799	54,851
Decrease in provision for notes receivable	32,366	2,077	34,443
Other	-	119	119
Total additions	80,388	8,995	89,383
Deductions:			
Loans canceled or written off (net)	-	1,000	1,000
Total deductions	-	1,000	1,000
Outstanding balance, June 30, 2009	<u>\$ 1,451,296</u>	<u>\$ 281,624</u>	<u>\$ 1,732,920</u>

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year ended June 30, 2009

NOTE B - STUDENT LOAN PROGRAMS ADMINISTERED BY THE UNIVERSITY
(Continued)

	<u>Carbondale</u>	<u>Edwardsville</u>	<u>Total</u>
Disadvantaged Student Loan Program			
CFDA #93.342			
Outstanding balance, July 1, 2008	\$ 181,695	\$ 804	\$ 182,499
Additions:			
Interest income	2,465	8	2,473
Other	<u>23</u>	<u>-</u>	<u>23</u>
Total additions	2,488	8	2,496
Deductions:			
Refund to grantor	9,537	-	9,537
Administrative Charges	-	119	119
Increase in provision for notes receivable	<u>4,952</u>	<u>-</u>	<u>4,952</u>
Total deductions	<u>14,489</u>	<u>119</u>	<u>14,608</u>
Outstanding balance, June 30, 2009	<u>\$ 169,694</u>	<u>\$ 693</u>	<u>\$ 170,387</u>

The above deductions include disbursements and expenditures such as loans to students and administrative expenditures.

NOTE C - FEDERAL DIRECT STUDENT LOAN PROGRAM CFDA #84.268:

During the fiscal year ended June 30, 2009, the University processed the following amounts of non-cash federal awards in the form of loans under the Federal Direct Student Loan Program (which includes Stafford Loans, Parents' Loans for Undergraduate Students, and Supplemental Loans for Students):

	<u>Carbondale</u>	<u>Edwardsville</u>	<u>Total</u>
Year ended June 30, 2009	<u>\$ 110,252,913</u>	<u>\$ 3,526,019</u>	<u>\$ 113,778,932</u>

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year ended June 30, 2009

NOTE D - MAJOR PROGRAMS

The following federal program expenditures comprise major program expenditures under OMB Circular A-133 for the year ended June 30, 2009. (Major programs are indicated in the Schedule of Expenditures of Federal Awards by (1), (2), (3) or (4)).

	<u>Carbondale</u>	<u>Edwardsville</u>	<u>Total</u>
(1) Student Financial Aid Cluster	\$ 21,784,378	\$ 10,848,116	\$ 32,632,494
(2) Research and Development Cluster	18,539,098	2,193,724	20,732,822
(3) Head Start Program	3,065,643	10,254,061	13,319,704
(4) TRIO Cluster	<u>900,281</u>	<u>1,655,446</u>	<u>2,555,727</u>
 Total major program expenditures	 44,289,400	 24,951,347	 69,240,747
 Other program expenditures	 <u>13,683,861</u>	 <u>2,311,974</u>	 <u>15,995,835</u>
 Total federal expenditures	 <u>\$ 57,973,261</u>	 <u>\$ 27,263,321</u>	 <u>\$ 85,236,582</u>

As part of the Student Financial Aid Program, Southern Illinois University administers loan proceeds under the Perkins Loan Program (as described in Note B) and the Federal Direct Student Loan Program (as described in Note C).

NOTE E - SUBRECIPIENTS

Of the federal expenditures presented in the Schedule of Expenditures of Federal Awards, the University provided federal awards to subrecipients as follows:

<u>Program Title</u>	<u>Federal CFDA Number</u>	<u>Amount Provided to Subrecipients</u>
Carbondale:		
Rural Health Care Services Outreach and Rural Health Network Development Prog.	93.912	\$ 272,605
Family and Community Violence Prevention Program	93.910	175,138
Improving Teacher Quality State Grants	84.367	161,723
Grants for Agricultural Research, Special Research Grants	10.200	79,041
Biological Sciences	47.074	48,485
Engineering Grants	47.041	39,359

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year ended June 30, 2009

NOTE E - SUBRECIPIENTS (Continued)

<u>Program Title</u>	<u>Federal CFDA Number</u>	<u>Amount Provided to Subrecipients</u>
U.S. Department of Defense	12.W912HZ-07-2	38,473
U.S. Department of Energy	81.DE-FC26-98	38,203
Professional and Cultural Exchange Programs - Citizen Exchanges	19.415	17,575
Social, Behavioral, and Economic Sciences	47.075	16,783
Integrated Programs	10.303	16,747
U.S. Department of Defense	12.W9132	10,794
WIA Dislocated Workers	17.260	10,123
U.S. Department of Agriculture	10.FS-05-PA-1	8,504
Mathematical and Physical Sciences	47.049	5,900
U.S. Department of Defense	12.N00164-07-C-8212	5,000
Polar Programs	47.078	<u>2,400</u>
Subrecipients - Carbondale		<u>\$ 946,853</u>

<u>Program Title</u>	<u>Federal CFDA Number</u>	<u>Amount Provided to Subrecipients</u>
Edwardsville:		
Head Start	93.600	\$ 185,370
Special Education-State Grants	84.027	107,957
Science to Achieve Results (STAR)	66.509	73,161
Digestive Disease and Nutrition Research	93.848	13,681
Mathematics and Science Partnerships	84.366A	6,488
Digital Strategy - American Memory Fellows	42.006	6,139
Engineering Grants	47.041	<u>2,230</u>
Subrecipients - Edwardsville		<u>\$ 395,026</u>

NOTE F - NON-CASH ASSISTANCE AND FEDERAL INSURANCE

During the year ended June 30, 2009, the University received no non-cash assistance, except as disclosed in Note C. Also, there was no federally funded insurance in effect during the year ended June 30, 2009.

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year ended June 30, 2009

NOTE G - SCHEDULE OF FEDERAL EXPENDITURES, NON FEDERAL EXPENSES, AND NEW LOANS

	<u>Carbondale Amount</u>		<u>Edwardsville Amount</u>		<u>Total Amount</u>	
Total Federal expenditures						
Reported on SEFA	\$	57,973,261		\$	27,263,321	\$ 85,236,582
Total New Loans Made not						
Included on SEFA		110,252,913		3,526,019		113,778,932
Amount of Federal Loan Balances						
At Beginning of the Year		16,731,113		2,569,350		19,300,463
Other noncash Federal Award Expenditures		-		-		-
Total Federal Financial Component	\$	<u>184,957,287</u>	\$	<u>33,358,690</u>	\$	<u>218,315,977</u>
Total Operating Expenses (From Financial Statements)		652,072,875		257,768,690		909,841,565
Total Nonoperating Expenses From Financial Statements)		6,250,813		8,148,356		14,399,169
Total New Loans Made		110,252,913		3,526,019		113,778,932
Amount of Federal Loan Balances						
At Beginning of the Year		16,731,113		2,569,350		19,300,463
Other noncash Federal Award Expenditures		-		-		-
Total Financial Component	\$	<u>785,307,714</u>	\$	<u>272,012,415</u>	\$	<u>1,057,320,129</u>
Total Federal Financial Component	<u>Amount</u>	<u>Percent</u>	<u>Amount</u>	<u>Percent</u>	<u>Amount</u>	<u>Percent</u>
Component	184,957,287	23.6%	33,358,690	12.3%	218,315,977	20.6%
Nonfederal Expenses	600,350,427	76.4%	238,653,725	87.7%	839,004,152	79.4%
Total Financial Component	<u>\$ 785,307,714</u>	<u>100.00%</u>	<u>\$ 272,012,415</u>	<u>100.00%</u>	<u>\$1,057,320,129</u>	<u>100.00%</u>

Breakout of Major and Nonmajor Programs

	<u>Carbondale</u>		<u>Edwardsville</u>		<u>Total</u>	
	<u>Amount</u>	<u>Percent</u>	<u>Amount</u>	<u>Percent</u>	<u>Amount</u>	<u>Percent</u>
Major programs	\$ 44,289,400	76.4%	\$ 24,951,347	91.5%	\$ 69,240,747	81.2%
Nonmajor programs	<u>13,683,861</u>	<u>23.6%</u>	<u>2,311,974</u>	<u>8.5%</u>	<u>15,995,835</u>	<u>18.8%</u>
Total federal expenditures	<u>\$ 57,973,261</u>	<u>100.00%</u>	<u>\$ 27,263,321</u>	<u>100.00%</u>	<u>\$ 85,236,582</u>	<u>100.00%</u>